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10/675,773

09/30/2003

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EXAMINER

IWARERE, OLUSEYE

ART UNIT

PAPER NUMBER

3687

NOTIFICATION DATE

DELIVERY MODE

05/14/2009

ELECTRONIC

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

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|                              |                                      |                                       |  |
|------------------------------|--------------------------------------|---------------------------------------|--|
| <b>Office Action Summary</b> | <b>Application No.</b><br>10/675,773 | <b>Applicant(s)</b><br>GAVA, FABIO M. |  |
|                              | <b>Examiner</b><br>OLUSEYE IWARERE   | <b>Art Unit</b><br>3687               |  |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 20 November 2008.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1,3-8,10-15,17-22 and 24-28 is/are pending in the application.
- 4a) Of the above claim(s) 2,9,16 and 24 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1,3-8,10-15,17-22 and 24-28 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 30 September 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                     | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

### DETAILED ACTION

1. This communication is in response to remarks received on November 20, 2008. Amendments to claims 1, 8, 15 and 22 have been entered and considered below.

### ***Claim Rejections - 35 USC § 103***

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. **Claims 1, 3 – 8, 10 – 15, 17 – 22 and 24 – 28 are rejected under 35 U.S.C. 103(a) as being unpatentable over Smith et al. (2002/0147668) in view of Aikens (6,216,113), Further in view of Bennett ().**

**As per claims 1, 8, 15 and 22,** Smith discloses a system, method, computer-readable medium and computer-implemented method for automated accounting of document generating device usage (computer-based resource management systems) comprising:

Means adapted for acquiring usage data representative of usage of a document generating device ([abstract]; The other layer comprises allocation accounts that represent categories of transactions such as "materials," "labor," "facilities," and "insurance." The user uses these virtual accounts in conjunction with actual accounts to

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track the user's transactions in real time) in accordance with a selected one of a plurality of user accounts (managing the resources of a job-based business through real-time allocation of the resources among a set of user-defined virtual spending accounts) wherein the usage data includes at least one of:

copier usage data in accordance with each of the plurality of user accounts ([abstract]; the job accounts represent various jobs or projects and are each designated by an envelope icon and a job number, which is construed as copier usage data);

print usage data ([0039] Examples of output means 38 include a monitor, a printer) in accordance with each of the plurality of user accounts ([0074] FIG. 5o shows a time sheet screen activated by screen button 66 of FIG. 5n. Here, the user 10 can enter time by date, employee, job number, and activity. Further, daily totals are displayed.);

facsimile usage data ([0012] transactions can be reconciled and various documents such as job quotes, purchase orders, and time sheets can be transmitted remotely by fax or by other electronic means.) in accordance with each of the plurality of user accounts [0074] FIG. 5o shows a time sheet screen activated by screen button 66 of FIG. 5n. Here, the user 10 can enter time by date, employee, job number, and activity. Further, daily totals are displayed; and

scanning usage data (the inputs 40 may be received by an entity 14 in hard copy form by the user 10 who then may input the received information using, for example, a scanner and scanning software or by manually typing in the information) in accordance with each of the plurality of user accounts [0074] FIG. 5o shows a time sheet screen

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activated by screen button 66 of FIG. 5n. Here, the user 10 can enter time by date, employee, job number, and activity. Further, daily totals are displayed.

means adapted for locally storing accumulated usage data from each of a plurality of document generating device operations (fig. 1c depicts storage means and [0044] discusses application program or module 42 may reside in various storage areas within a computer-based environment 11 along with other program modules such as an operating system, one or more application programs, other program modules, and program data);

means for locally storing received report data (fig. 1c depicts storage means)

reporting means adapted for periodically outputting, via the data network the usage data in accordance with the report data ([0058] Also, some embodiments of the present invention allow the user 10 to view transactions by a user-selected period such as a day, week, month, quarter, year, or any other selected period); and

However, Smith fails to explicitly disclose, receiving, from an associated accounting administrator via a data network, report period data corresponding to a selected timing for transmission of accumulated data usage; an interval counter adapted for outputting time data corresponding to a preselected reporting period interval, time data so as to include a timed output of usage data accumulated over the reporting period interval, receiving a reset signal from the associated accounting administrator via the data network representative of a reset of at least a portion of accumulated usage

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data; and resetting locally stored accumulated usage data to a previous state upon receipt of a reset signal and an associated accounting administrator.

Bennett teaches a printing system with automatic statistical compilation and billing with the features of receiving, from an associated accounting administrator via a data network, report period data corresponding to a selected timing for transmission of accumulated data usage (fig. 8C depicts selected timing for transmission);

an interval counter adapted for outputting time data corresponding to a preselected reporting period interval (fig. 8C depicts a time frame);

time data so as to include a timed output of usage data accumulated over the reporting period interval (fig. 8C depicts time frame of reporting);

Aikens teaches an auditron access printer, with the feature of receiving a reset signal from the associated accounting administrator via the data network ([abstract] discusses an accounting administrator) representative of a reset of at least a portion of accumulated usage data; and resetting locally stored accumulated usage data to a previous state upon receipt of a reset signal (fig. 4, item 120 depicts a print & delete, in which the internal print queue is reset in order to allow other jobs to be processed.

[abstract] also discloses memory which must be reset in order to function);

and an associated accounting administrator ([0012] fig. 1, item 18 depicts a network administrator).

From this teaching of Bennett and Aikens, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the method and system for job-based accounting of Smith to include the, receiving report period data,

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interval counter and time data so as to include a timed output of usage data accumulated over the reporting period interval, taught by Bennett and the receiving a reset signal representative of a reset of at least a portion of accumulated usage data and resetting accumulated usage data to a previous state upon receipt of a reset signal and an accounting administrator taught by Aikens in order to account for the output. Furthermore, all the claims elements were known in the prior arts and one skilled in the art could have combined the elements as claimed by known methods with no change in their respective functions, and the combination would have yield predictable results to one of ordinary skill in the art at the time of the invention.

(Also, see “Adapted for” Language paragraph below)

**As per claims 3, 10, 17 and 24,** Smith discloses, wherein the reporting means includes means adapted for outputting the usage data to an associated accounting administrator via at least one of electronic mail transmission, facsimile transmission, FTP transmission, and HTML transmission ([0045] For example, the inputs 40 may be input by either a user 10 or a connected entity 14 who sends it electronically to the user 10 via fax, e-mail, Internet web sites (e.g., by filling out and uploading an electronic form provided by a web site) or other electronic means).

**As per claims 4, 11, 18 and 25,** Smith discloses, wherein the reporting means includes means adapted for outputting the usage data to at least one of an electronic

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mail server, a document management system, an image generating device, and an Internet server ([0045] The outputs 44 may also be generated electronically, in hard copy format, or both).

**As per claims 5, 12, 19 and 26,** Smith discloses, wherein the document generating device includes a scanning device ([0039] Input means 34 may include a keyboard, a pointing device (e.g., a mouse, touch pad, track ball, joystick, or stylus), a microphone, scanner, or the like), a printing device ([0039] Examples of output means 38 include a monitor, a printer, a plotter, a fax, and speakers), a facsimile device, and a multifunctional peripheral (Input means 34 may include a keyboard, a pointing device (e.g., a mouse, touch pad, track ball, joystick, or stylus), a microphone).

However Smith fails to explicitly disclose a copying device.

Aikens teaches an auditron access printer, which is a copying device (col. 2, lines 19 – 21; Multimedia system 2 can be implemented using a variety of hardware platforms and includes devices for input including scanner or digital copier 5)

From this teaching of Aikens, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the method and system for job-based accounting of Smith to include the copying device taught by Aikens in order to enhance the service.

**As per claims 6, 13, 20 and 27,** Smith discloses, wherein the reporting means further includes means adapted for selecting parameters for periodically outputting the



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usage data ([0058] Also, some embodiments of the present invention allow the user 10 to view transactions by a user-selected period such as a day, week, month, quarter, year, or any other selected period).

**As per claims 7, 14, 21 and 28**, Smith discloses, wherein the means adapted for selecting parameters for periodically outputting usage data include selecting at least one of data reporting period ([0058] Also, some embodiments of the present invention allow the user 10 to view transactions by a user-selected period such as a day, week, month, quarter, year, or any other selected period),

user accounts to be reported ([abstract] allocation of the resources among a set of user-defined virtual spending accounts),

format of output ([0045] The outputs 44 may also be generated electronically, in hard copy format, or both),

transmission type ([abstract] The user may also use the present invention to create and remotely transmit purchase orders and invoices, keep a record of employees, enter employee time sheets, process payroll, and allocate and reconcile incoming and outgoing transactions between the various virtual accounts),

destination of output ([0010] allows the user to create purchase orders and invoices, keep a record of employees, enter employee time sheets, process payroll, and allocate and reconcile incoming and outgoing transactions between the various accounts),

and usage data included ([0009] the user establishes a limit representing a spending limit for each envelope or virtual spending account) ([0011] the user can also set certain transactions to debit or credit various accounts "automatically" at regular intervals of time or upon the happening of a certain event such as an on-line account reconciliation).

### ***"Adapted for" Language***

2. The subject matter of a properly construed claim is defined by the terms that limit its scope. It is this subject matter that must be examined. As a general matter, the grammar and intended meaning of terms used in a claim will dictate whether the language limits the claim scope. Language that suggests or makes optional but does not require steps to be performed or does not limit a claim to a particular structure does not limit the scope of a claim or claim limitation. The following are examples of language that may raise a question as to the limiting effect of the language in a claim:

- (A) statements of intended use or field of use,
- (B) "adapted to" or "adapted for" clauses,**
- (C) "wherein" clauses, or
- (D) "whereby" clauses.

This list of examples is not intended to be exhaustive. See MPEP § 2106 II C. See also MPEP § 2111.04.

### ***Response to Arguments***

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4. Applicant's arguments with respect to claims 1, 8, 15 and 22 have been considered but are moot in view of the new ground(s) of rejection.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to OLUSEYE IWARERE whose telephone number is (571)270-5112. The examiner can normally be reached on M-Th.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Matthew S. Gart can be reached on (571)272-3955. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Matthew S Gart/  
Supervisory Patent Examiner, Art  
Unit 3687

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